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"UNIVERSITY OF INNOVATION AND TECHNOLOGY"

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REGULATIONS
ON THE IMPLEMENTATION OF JOINT EDUCATIONAL PROGRAMS WITH
ARIZONA STATE UNIVERSITY

Almaty

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1. GENERAL PROVISIONS

1.1 These Regulations on the Implementation of Joint Educational Programs with Arizona State University (hereinafter, the Regulations) define the objectives, principles, and organizational and academic conditions for the implementation of joint bachelor's and master's degree programs of LLP "Foreign Educational Institution 'University of Innovation and Technology'" (hereinafter, the University, Innotech) in cooperation with Arizona State University (hereinafter, ASU).

1.2 The Regulations have been developed to establish a uniform procedure for organizing studies, defining requirements for students, regulating matters of academic mobility, submitting academic credits for review by ASU, confirming English language proficiency, and coordinating the University's structural units in the implementation of joint educational programs.

1.3 These Regulations apply to students enrolled in bachelor's and master's degree programs implemented by the University in cooperation with ASU, as well as to the University's employees and structural units involved in organizing and supporting such programs.

1.4 Joint educational programs are implemented on the basis of contracts, agreements, and other documents governing cooperation between the University and ASU, taking into account the approved educational programs, working curricula, and the applicable academic requirements of the parties.

1.5 Joint educational programs are implemented in accordance with the legislation of the Republic of Kazakhstan, the University's internal regulations, the applicable requirements of ASU, and the terms of the relevant cooperation agreements.

1.6 These Regulations provide for the following areas of implementation of educational programs:

- bachelor's degree programs implemented under the "3+1 Powered by ASU" model;
- master's degree programs implemented with the participation of ASU in accordance with the agreed study model, curriculum, and partnership terms.

1.7 The specific implementation model of each educational program, the duration and format of study, the list of courses, the procedure for assessing learning outcomes, the conditions for recognition of academic credits, and other material terms are determined by the relevant educational program, the working curriculum, and the documents governing cooperation with ASU.

1.8 Admission to a University educational program implemented in cooperation with ASU does not in itself constitute automatic admission to ASU, the right to receive an ASU degree, or guaranteed recognition of all academic credits earned at the University.

2. REGULATORY FRAMEWORK

The Regulations have been developed in accordance with the following documents:

- the Law of the Republic of Kazakhstan "On Education" (including Articles 5-3, 26, and 47);

- the Rules for Awarding Educational Grants to Pay for Higher or Postgraduate Education Leading to a Bachelor's or Master's Degree, approved by Order No. 443 of the Acting Minister of Science and Higher Education of the Republic of Kazakhstan dated August 25, 2023 (registered with the Ministry of Justice of the Republic of Kazakhstan on 31.08.2023 under No. 33345) (hereinafter, the Grant Award Rules);

- the Rules for Assigning Specialists to Employment, Reimbursing Expenses Incurred from Budgetary Funds, Granting the Right to Independent Employment, and Exempting from or Terminating the Obligation of Mandatory Service by Citizens of the Republic of Kazakhstan Who Studied under the State Educational Order, approved by Order No. 403 of the Acting Minister of Science and Higher Education of the Republic of Kazakhstan dated August 11, 2023 (hereinafter, the Mandatory Service Rules);

- Resolution of the Government of the Republic of Kazakhstan No. 116 dated February 7, 2008 "On Approval of the Rules for the Assignment, Payment, and Amounts of State Scholarships for Students in Educational Organizations" (hereinafter, the Scholarship Rules); the Standard

Rules for the Activities of Higher and (or) Postgraduate Education Organizations, approved by Order No. 595 of the Minister of Education and Science of the Republic of Kazakhstan dated October 30, 2018 (hereinafter, Standard Rules No. 595); and the University's internal documents governing the procedure for granting academic leave and reinstating students;

- the License Agreement between the University and ASU dated 25.10.2025, as amended by the First Supplementary Agreement dated 18.06.2026 (hereinafter, the ASU License Agreement);
- the Academic Policy, the Internal Regulations, and other internal documents of the University, and the Charter of the University.

3. KEY TERMS AND ABBREVIATIONS

The following key terms are used in these Regulations:

- **joint educational program** – an educational program implemented by the University through academic and organizational cooperation with ASU under the terms of the relevant agreements;
- **"3+1 Powered by ASU" model** – a model for organizing bachelor's degree studies that provides for the first three years of full-time, on-site study at the University, followed by a fourth year implemented within the Global Link Program under the terms established for the respective student;
- **Global Link Program** – a format of educational cooperation with ASU, the terms of participation in which, including the available study format, academic requirements, and applicable financial terms, are determined by the relevant ASU documents and the agreements of the parties;
- **academic credit** – a unified unit for measuring a student's academic workload, used to record the completion of courses and learning outcomes;
- **academic transcript** – an official document containing information on completed courses, grades received, and other academic results;
- **transfer of academic credits** – recognition by ASU of previously completed courses and the corresponding academic credits toward the requirements of a specific ASU educational program;
- **Cumulative Transfer GPA** – the cumulative grade point average determined by ASU when evaluating a student's academic performance for the purpose of considering transfer or recognition of academic credits;
- **academic eligibility under ASU requirements** – a student's fulfillment of ASU requirements regarding academic performance, English language proficiency, the composition and content of completed courses, and other applicable conditions for participation in the relevant program.

4. OBJECTIVES AND PRINCIPLES OF IMPLEMENTING JOINT EDUCATIONAL PROGRAMS

4.1 The main objectives of implementing joint educational programs are:

- expanding students' access to international educational opportunities;
- integrating international academic experience into the University's educational process;
- ensuring the alignment of educational program content with ASU requirements and academic approaches within the agreed cooperation model;
- developing academic mobility and international educational pathways for students;
- developing the professional, research, analytical, and language competencies required for further study and professional activity.

4.2 The implementation of joint educational programs is based on the following principles:

- compliance with the legislation of the Republic of Kazakhstan and the applicable requirements of ASU;
- transparency of the terms of study, funding, and participation in the programs;

- objectivity in assessing students' academic results;
- compliance with approved curricula and established course completion requirements;
- informing students about the procedure for recognition of academic credits and possible limitations on such recognition;
- the student's personal responsibility for meeting academic and language requirements;
- ensuring the quality of the educational process and timely academic support for students.

5. GENERAL MODEL OF THE ACADEMIC PATHWAY

5.1 Studies under bachelor's degree programs are implemented under the "3+1 Powered by ASU" model and consist of two stages:

- **Years 1–3** – full-time, on-site study at the University in accordance with the approved educational program and working curriculum;
- **Year 4** – study within the Global Link Program, the format and terms of which are determined by the source of funding (educational grant or fee-paying basis), the results of assessing the student's eligibility under ASU and University criteria, and the terms of the relevant agreements.

5.2 During the first three years, the student completes the courses provided for by the University's educational program, fulfills the requirements of the working curriculum, and undergoes continuous, interim, and final assessment in accordance with the University's internal regulations.

5.3 For students funded by an educational grant, the format and terms of continuing studies in the fourth year are determined taking into account the conditions for awarding and retaining the grant, the applicable ASU requirements, and the documents governing the relevant educational pathway.

5.4 Retention of the educational grant for the period of study associated with the Global Link Program is not unconditional and depends on the student's compliance with the established funding conditions, academic performance requirements, English language proficiency requirements, and other applicable criteria.

5.5 Self-funded students participate in the Global Link Program under the terms provided for by the relevant agreements, ASU rules, and contractual obligations for payment of tuition.

5.6 The academic pathway for progression to Year 4 differs depending on the student's source of funding, as set out in the following table:

Student category	Default Year 4 format	Alternative option
Grant-funded student	Distance learning on the ASU platform with Grant Funded Distance Learning Student status – a mandatory condition for retaining the grant in Year 4	Full-time study on the ASU campus (USA) with Grant Funded Campus Student status – at the student's own expense
Fee-paying student	Distance learning on the ASU platform at actual ASU rates, or full-time study on the ASU campus (USA) – subject to meeting ASU criteria and receiving an invitation	Continuation of studies at the University (without an ASU degree), fees at University rates – if ASU criteria are not met

5.7 Academic credits earned by a student at Innotech may be submitted to ASU for evaluation and possible transfer, provided that the relevant courses have been successfully completed and the learning outcomes are confirmed by an official academic transcript.

5.8 Successful completion of a course at the University does not guarantee its automatic transfer toward the requirements of an ASU educational program.

5.9 The possibility and extent of transfer for each course are determined by ASU, taking into account the requirements of the relevant educational program, college, school, unit, or department of ASU, as well as the course content, learning outcomes achieved, and other applicable academic criteria.

5.10 The following minimum criteria apply to the review of academic credits for bachelor's and master's degree programs, unless stricter conditions are established by current ASU requirements:

- the final grade for each course submitted for transfer must be no lower than **"C"**;
- the Cumulative Transfer GPA must be no lower than **3.0**.

5.11 The following minimum requirements for confirming English language proficiency apply to the consideration of participation in the Global Link Program and recognition of academic credits:

5.12 for bachelor's degree programs – a valid IELTS certificate with a score of at least 6.0 or another document recognized by ASU in accordance with the applicable requirements;

5.13 for master's degree programs – a valid IELTS certificate with a score of at least 7.0 or another document recognized by ASU in accordance with the applicable requirements.

5.14 English language proficiency is one of the conditions for students' participation in the ASU academic components provided for by the educational program.

5.15 The certificate confirming English language proficiency must be valid at the time the application or documents are submitted to ASU, unless otherwise established by the relevant ASU rules.

5.16 The acceptable types of language certificates, minimum scores for individual test components, the validity period of the certificate, and other conditions for confirming language competence are determined by current ASU requirements for the relevant educational program.

5.17 Master's degree programs implemented with the participation of ASU are organized in accordance with the approved educational programs, working curricula, and the agreed model of academic cooperation between the parties.

5.18 The implementation model of each master's degree program is determined separately and may provide for completing courses at the University, study on the ASU educational platform, a distance or blended format, academic mobility, or other forms of cooperation provided for by the agreements.

5.19 The duration of study, the sequence of courses, the distribution of academic credits, and the format of classes and assessment of learning outcomes are established by the working curriculum of the relevant program and the documents governing cooperation with ASU.

5.20 To participate in the ASU educational components provided for by the master's degree program, the student must meet the established academic, language, and other applicable requirements.

5.21 Master's degree students are personally responsible for the timely submission of documents confirming their academic results and English language proficiency, unless otherwise provided for by the relevant agreements.

5.22 Admission to a University master's degree program does not guarantee automatic admission to an ASU program or receipt of an ASU educational credential. Such outcomes are possible only upon fulfillment of the relevant requirements and compliance with the established procedures.

5.23 A student's participation in the Global Link Program is permitted upon fulfillment of the established requirements for academic performance, English language proficiency, and other applicable criteria set by ASU, the University, and the cooperation documents.

5.24 The University ensures that students are informed of the participation requirements, the procedure for preparing the necessary documents, application deadlines, and the consequences of failing to meet the established criteria.

5.25 If a student does not meet the requirements for participation in the Global Link Program, their further educational pathway is determined in accordance with the terms of the funding provided, the University's internal regulations, the approved educational program, and the applicable agreements of the parties.

5.26 The University does not pay for or reimburse a student's travel expenses (fares, flights, and other transportation costs) for arriving at the place of full-time study at the University in Almaty from another locality of the Republic of Kazakhstan or from abroad, nor the student's accommodation expenses in Almaty. The student bears these expenses independently and at their own expense, regardless of the source of funding for their studies (grant or fee-paying). This clause does not affect the applicable provisions of the legislation of the Republic of Kazakhstan on social support for certain categories of students (if any), which are implemented outside the scope of these Regulations.

6. ACADEMIC PATHWAY FOR GRANT-FUNDED STUDENTS

6.1 Default format. The default format of study in Year 4 funded by a state educational grant is distance learning on the ASU platform (Grant Funded Distance Learning Student status).

6.2 Grant retention criterion. Following the results of Year 3, the condition for retaining a student's right to a state educational grant to continue studies in Year 4 is the student's compliance with all mandatory ASU requirements established for admission to Year 4 studies under the "3+1 Powered by ASU" program (Global Link Program), including requirements for academic performance (GPA), English language proficiency, and other applicable ASU requirements. A student's failure to meet at least one of the mandatory ASU requirements, resulting in the impossibility of their progression to Year 4 studies at ASU, constitutes grounds for the loss of the student's right to study under the state educational grant, with the possibility of continuing studies at the University on a fee-paying basis in the manner established by these Regulations. These Regulations do not establish or apply a separate (universal) University progression score for the purpose of grant retention upon progression from Year 3 to Year 4; a student's academic performance upon progression from Year 1 to Year 2 and from Year 2 to Year 3 is governed by the University's general Academic Policy outside the scope of these Regulations.

6.3 Scenario A – full compliance. A student who fully meets all mandatory ASU requirements established for admission to Year 4 is admitted to Year 4 studies within the Global Link Program with Grant Funded Distance Learning Student status; the grant is retained and continues to fund the studies in full.

6.4 Scenario B – non-compliance with ASU requirements. If a student does not meet at least one of the mandatory ASU requirements established for admission to Year 4 – whether in terms of academic performance (GPA below the minimum level established by ASU in accordance with Appendix 1) or other (non-academic) ASU requirements (including English language proficiency) – and the student has not been selected for admission to Year 4:

- the student loses the right to study under the state educational grant (without being expelled from the University) and is simultaneously transferred to fee-paying study, without interruption of studies; for the purposes of Article 47 of the Law "On Education" and the Mandatory Service Rules, such transfer is recognized as a transfer from study under the state educational order to fee-paying study within the meaning of subparagraph 2) of paragraph 9 of the Mandatory Service Rules;

- the student retains the right to continue Year 4 studies at the University on a fee-paying basis; the University and the student conclude a contract for the provision of paid educational services for Year 4; the student's further academic pathway is determined as for a fee-paying student. Such transfer does not in itself give rise to an obligation of the student to reimburse the University for funds used during Years 1–3 under the grant;

- the educational grant released as a result of the student's loss of the right to study under the grant pursuant to this clause is deemed vacant and is to be awarded to another student on a competitive basis in the manner provided for by Chapter 4 of the Grant Award Rules; a student who has lost the right to study under the grant pursuant to this clause no longer has any right to that specific grant and may participate in the competition for vacant grants (if any) on the general terms provided for by the Grant Award Rules.

6.5 Transfer to full-time study on the ASU campus. A student who meets ASU requirements has the right to choose full-time study on the ASU campus in the USA (Grant Funded Campus Student status) at their own expense. The default format of study in Year 4 with retention of the state educational grant is distance learning on the ASU platform.

6.6 The question of retaining or terminating the right to a state educational grant is determined in accordance with the legislation of the Republic of Kazakhstan and in coordination with the authorized body in the field of science and higher education and (or) the Operator.

6.7 Expenses for airfare, travel, accommodation, visa, medical insurance, registration, and other expenses related to the student's relocation to and stay in the USA are paid by the student independently and are not reimbursed by the University.

6.8 Changes to ASU requirements. Changes to ASU requirements, including minimum GPA values, English language proficiency requirements, and other admission criteria, apply to students enrolled after the relevant changes take effect. Previously enrolled students are subject to the requirements established for their cohort, except where the application of the new requirements is a mandatory ASU requirement.

6.9 Transfer between educational programs. The holder of a targeted state educational grant may not transfer to another educational program while retaining that grant.

6.10 Mandatory service obligation. A student who studied under the state educational order is obliged to complete mandatory service in the manner and within the time limits provided for by paragraph 17 of Article 47 of the Law of the Republic of Kazakhstan "On Education" and the Mandatory Service Rules (in particular, paragraphs 3–8 of the Mandatory Service Rules with respect to the service period by specialty). Upon transfer from study under the state educational order to fee-paying study (subparagraph 2) of paragraph 9 of the Mandatory Service Rules) and upon transfer from fee-paying study to study under the state educational order (subparagraph 1) of paragraph 9 of the Mandatory Service Rules), the service period is determined in proportion to the time actually spent studying under the state educational order according to the formula established by paragraph 9 of the Mandatory Service Rules ($T = y / x \times z$). Upon expulsion of a student with subsequent reinstatement within the current or following academic year, the service period is determined proportionally using the same formula in accordance with subparagraph 3) of paragraph 9 of the Mandatory Service Rules. Upon expulsion with subsequent reinstatement in the manner provided for by clause 3.5.2 of these Regulations beyond the current or following academic year (including after 2–3 years or more), since this case is not expressly covered by subparagraph 3) of paragraph 9 of the Mandatory Service Rules, the procedure for determining the mandatory service obligation in such a case is determined in accordance with the legislation of the Republic of Kazakhstan and the clarifications of the authorized body in the field of education and (or) the Operator; the University does not independently establish a procedure for calculating the service period for cases not expressly regulated by the Mandatory Service Rules and requests the relevant clarifications before making a decision regarding a specific student. In the event of non-fulfillment or partial fulfillment of the mandatory service obligation, expenses incurred from budgetary funds are subject to reimbursement in the manner provided for by paragraphs 36–37 of the Mandatory Service Rules (according to the formula $S1 = (z - d) \times S / z$).

6.11 Exemption from the mandatory service obligation, termination of the mandatory service obligation without reimbursement of budgetary expenses, and reimbursement of budgetary expenses are carried out in the cases and manner provided for by paragraphs 17-2, 17-3, and 17-4 of Article 47 of the Law of the Republic of Kazakhstan "On Education".

7. ACADEMIC PATHWAY FOR FEE-PAYING STUDENTS

7.1 Fee-paying students may complete Year 4 either remotely on the ASU educational platform or in person on the ASU campus in the USA.

7.2 If a student meets ASU requirements and chooses full-time on-campus study, ASU issues the student an invitation. The student then independently goes through the standard procedure for obtaining a US student visa at a US diplomatic mission or consular office, including an interview; the University does not bear any expenses related to the student's travel, accommodation, visa, registration, or residence registration.

7.3 A fee-paying student's compliance with ASU requirements is assessed according to the same criteria and thresholds as for grant-funded students – the minimum ASU transfer GPA for the relevant educational program does not depend on the source of funding for studies. If a student does not meet ASU requirements, they may continue their studies at the University. In this case:

- the student receives a University diploma and the relevant certificates upon completion of the University's educational program, but without an ASU degree;
- the tuition fee for Year 4 in such a case is calculated based on the tuition fee established by the University for the relevant educational program, rather than at ASU rates;
- if a fee-paying student chooses the distance learning format on the ASU platform, the cost of such format is calculated based on the actual rates applicable to that format on the ASU platform.

8. RESPONSIBILITIES OF THE PARTIES

8.1 A student participating in a joint educational program must:

- fulfill the requirements of the approved educational program and working curriculum;
- observe academic discipline, the University's internal regulations, and the requirements of academic integrity;
- maintain the established level of academic performance;
- confirm their English language proficiency in a timely manner;
- provide accurate documents and information required for participation in the educational program and the review of academic credits;
- meet the deadlines for submitting applications, documents, and other materials established by the University and ASU;
- fulfill financial obligations in accordance with the contracts concluded and the terms of the funding provided;
- independently monitor notifications, academic requirements, and deadlines communicated to students by the University and ASU.

8.2 The student is responsible for the consequences of failing to meet the established academic and language requirements, failing to submit the necessary documents, missing submission deadlines, or providing inaccurate information.

8.3 Failure to meet ASU requirements may result in the inability to participate in the Global Link Program, refusal to recognize certain academic credits, the need to complete additional courses, or a change in the educational pathway in the manner provided for by the applicable rules and agreements.

8.4 Disciplinary measures in connection with a student's violations are applied in accordance with the University's internal regulations and the applicable requirements of the legislation of the Republic of Kazakhstan.

8.5 In implementing joint educational programs, the University:

- organizes the educational process in accordance with the approved educational programs and working curricula;
- communicates to students the academic and language requirements of ASU, the procedure for submitting documents, and the deadlines for completing the relevant procedures;
- maintains academic records and issues official academic transcripts within its competence;

- organizes cooperation with ASU on matters of academic support for students;
- provides students with advisory support on matters of their educational pathway, preparation of documents, and fulfillment of academic requirements;
- informs students of changes in the organization of studies and applicable requirements to the extent of the information available;
- takes measures to ensure the quality of the educational process and compliance with the terms of the relevant agreements.

8.6 The University has the right to request from students the documents and information necessary to confirm compliance with the established criteria, to organize studies, and to cooperate with ASU.

8.7 The University does not make decisions on behalf of ASU on matters of admission to ASU, recognition of academic credits, determination of the Cumulative Transfer GPA, or other matters within the competence of ASU.

8.8 The University ensures that students are provided with information on the consequences of failing to meet ASU requirements and possible options for further study within its authority and the terms of the relevant agreements.

8.9 Academic support for students in joint educational programs is provided by the authorized structural units and employees of the University within their competence.

8.10 Academic support includes:

- advising on the fulfillment of the working curriculum;
- informing about requirements for academic performance and English language proficiency;
- explaining the procedure for preparing and submitting academic documents;
- assisting in organizing communication with ASU;
- informing about document submission deadlines and the completion of other mandatory procedures;
- advising on the further educational pathway in the event of a change in the student's academic status or the terms of participation in the program.

8.11 Information on ASU requirements is communicated to students through the University's official information channels, electronic information systems, official email, and other established means of communication.

8.12 The student is deemed responsible for timely familiarization with information sent to them through the University's official communication channels, in the manner established by the University's internal regulations.

8.13 The financial terms of study under joint educational programs are determined by the contract for the provision of educational services, the terms of the educational grant, the agreements between the University and ASU, and other applicable documents.

8.14 For fee-paying students, the amount and procedure for payment of tuition, as well as any additional financial obligations, if provided for, are established by the relevant contracts and rules.

8.15 For students funded by an educational grant, the funding terms, including possible restrictions and requirements for retaining the grant, are determined by the grant award documents and the applicable rules.

8.16 Before the start of the relevant stage of study, the student is provided with information on the financial terms applicable to their educational pathway, to the extent of the information available to the University.

8.17 The terms of payment for studies at the University and the terms of payment for educational components provided by ASU are delimited in accordance with the contractual obligations of the parties and the student.

9. FINAL PROVISIONS

9.1 These Regulations enter into force on the date of their approval in the manner established by the University.

9.2 Amendments and additions to these Regulations are made in the manner established by the University, taking into account changes in the legislation of the Republic of Kazakhstan, ASU requirements, and the terms of the cooperation agreements.

9.3 In the event of changes to ASU requirements regarding academic performance, recognition of academic credits, English language proficiency, or participation in the relevant programs, the University ensures that students are informed of the applicable changes.

9.4 In the event of a conflict between the provisions of these Regulations and the mandatory requirements of the legislation of the Republic of Kazakhstan, the provisions of the legislation of the Republic of Kazakhstan shall apply.

9.5 Matters not regulated by these Regulations are governed by the University's internal regulations, the terms of the relevant agreements, and the applicable ASU requirements within their competence.

9.6 These Regulations are subject to review as necessary, including in the event of changes to the cooperation model with ASU, the launch of new joint educational programs, or a material change in the conditions of their implementation.